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S P E C I F I C A T I O N

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TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN that We, Curt L. Cotner of Gilroy, California and citizen of the United States, Laurence E. England of Morgan Hill, California and citizen of the United States, Howard J. Glaser of San Jose, California and citizen of the United States, and Howard M. Hess of Evanston, Illinois and citizen of the United States have invented new and useful improvements in

**METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR
PROVIDING AUTOMATIC IDENTIFICATION OF A COMPUTER PROGRAM
CODE CANDIDATE FOR WEB DEPLOYMENT OR A STORED PROCEDURE**

of which the following is a specification:

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2
3 **METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR**
4 **PROVIDING AUTOMATIC IDENTIFICATION OF A COMPUTER PROGRAM**
5 **CODE CANDIDATE FOR WEB DEPLOYMENT OR A STORED PROCEDURE**

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4 **BACKGROUND OF THE INVENTION**
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7 **1. Field of the Invention**
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9

10 The present invention relates in general to software re-engineering, and more
11 particularly to identifying computer program code which is a candidate for Web enablement or
12 stored procedures.
13

14 **2. Description of the Related Art**
15
16

17 A large enterprise may have a significant volume of computer application code, the
18 enterprise's code inventory. Yet the enterprise's programming skill base to maintain, manage,
19 enhance, or re-implement that code inventory may be dwindling. Relative to re-
20 implementation, not all application programs are candidates for conversion to a Web interface
21 or a database stored procedure. Many, if not most, applications do not possess the necessary
22 characteristics for such a conversion. Discovering those existing opportunities to easily access
23 a function from the Web or convert a function to a database stored procedure may be difficult
24 due to the labor intensive nature of the conventional manual techniques. Conventional
25 methods have failed to provide adequate solutions to these problems. Thus, there is a clearly
26 felt need for a method of, system for, article of manufacture for, and computer program product
27 for identifying computer program code which is a candidate for Web enablement or stored
28 procedures.

SUMMARY OF THE INVENTION

The present invention comprises a method, system, computer program product, and article of manufacture for identifying computer program code which is a candidate for Web enablement or stored procedures. Source code corresponding to computer program code is scanned and parsed to determine static information concerning the computer program code. The static information is stored in a database. Dynamic information concerning the computer program code during an execution of the computer program code is also collected and stored in the database. Responsive to the static information and dynamic information stored in the database, relationships and dependencies are then developed and stored in the database. The database may then be queried to produce a set of potential candidates of computer program code meeting a constraint of the query. If insufficient candidates are returned by the query, then the query constraint may be relaxed, and the query repeated.

A candidate for re-implementation as a database stored procedure call may be identified by a query searching the database for a portion of the computer program code having static information indicating that the portion of the computer program code contains a number, above a specified first threshold, of calls to a database management system, and having dynamic information indicating that the portion of the computer program code is subject to a number of calls, above a specified second threshold, by another portion of computer program code.

A candidate for re-implementation as a Web-enabling interface call may be identified by a query searching the database for a portion of the computer program code having static information indicating that the portion of the computer program contains a transaction and does not contain screen output related program code.

The placement of this information capture, classification, and relationship/dependency discovery into a database , the Application Knowledge Base, that can be queried may provide significant advantages to a user. For an enterprise, this process may be performed across the

1 entire enterprise, encompassing all of the enterprise's inventory of application programs. The
2 details of the enterprise's application program inventory may be saved and queried in the
3 application knowledge base. These details may include system and sub-system specific
4 information. In addition to queries for identification of candidate computer code, the
5 application knowledge base may simply be queried for application characteristics.

6

7 Unlike simple conventional string matching or pattern matching approaches, the present
8 invention discovers relationships and dependencies within the computer program code. For
9 example, a COBOL dynamic CALL holding the called routine's name in a variable may be
10 analyzed by data flow analysis to determine the potential values of the target called routine.
11 Dynamic information obtained during the execution of the COBOL dynamic CALL may
12 augment the static information obtained through the static analysis and data flow analysis.

13

14 One aspect of a preferred embodiment of the present invention identifies computer
15 program code which is a candidate meeting a query constraint.

16

17 Another aspect of a preferred embodiment of the present invention identifies computer
18 program code which is a candidate for a stored procedure.

19

20 Another aspect of a preferred embodiment of the present invention identifies computer
21 program code which is a candidate for Web enablement.

22

23 Another aspect of a preferred embodiment of the present invention scans and parses
24 source code corresponding to computer program code to determine static information
25 concerning the computer program code, and stores the static information in a database.

26

27 Another aspect of a preferred embodiment of the present invention collects dynamic
28 information concerning the computer program code during an execution of the computer
29 program code, and stores the dynamic information in the database.

1 Another aspect of a preferred embodiment of the present invention discovers or
2 develops relationships and dependencies responsive to the static information and dynamic
3 information stored in the database, and stores the relationships and dependencies in the
4 database.

5

6 Another aspect of a preferred embodiment of the present invention queries the database
7 to produce a set of potential candidates of computer program code meeting a constraint of the
8 query. If insufficient candidates are returned by the query, then the query constraint may be
9 relaxed, and the query repeated.

10

11 Another aspect of a preferred embodiment of the present invention identifies a
12 candidate for re-implementation as a database stored procedure call by a query searching the
13 database for a portion of the computer program code having static information indicating that
14 the portion of the computer program code contains a number, above a specified first threshold,
15 of calls to a database management system, and having dynamic information indicating that the
16 portion of the computer program code is subject to a number of calls, above a specified second
17 threshold, by another portion of computer program code.

18

19 Another aspect of a preferred embodiment of the present invention identifies a
20 candidate for re-implementation as a Web-enabling interface call by a query searching the
21 database for a portion of the computer program code having static information indicating that
22 the portion of the computer program contains a transaction and does not contain screen output
23 related program code.

1 A preferred embodiment of the present invention has the advantage of promoting reuse
2 of an existing application program's business logic, resources, or other assets.
3

4 A preferred embodiment of the present invention has the advantage of promoting
5 impact analysis and application understanding of an existing application program.
6

7 A preferred embodiment of the present invention has the further advantage of
8 promoting reuse of an existing application program's business logic to link the existing
9 business logic with the Web via a connector to form a new Web application program.
10

11 A preferred embodiment of the present invention has the further advantage of providing
12 improved analysis, identification, and isolation of the business logic of an application program.
13

14 A preferred embodiment of the present invention has the further advantage of reducing
15 or eliminating labor intensive efforts in the creation of a Web connector through the analysis,
16 identification, and isolation of the business logic.
17

18 A preferred embodiment of the present invention has the further advantage of
19 promoting the use of an enterprise's existing programming skills.
20

21 A preferred embodiment of the present invention has the further advantage of providing
22 an application knowledge base, a database containing static information, dynamic information,
23 and relationships and dependencies of computer program code, which may be queried to
24 identify candidate computer program code.
25

26 A preferred embodiment of the present invention has the further advantage of providing
27 identification of computer program code which is a candidate for stored procedures.
28

29 A preferred embodiment of the present invention has the further advantage of providing

1 identification of computer program code which is a candidate for Web enablement.

2

3 A preferred embodiment of the present invention has the further advantage of providing
4 an improved user interface for identification of computer program code which is a candidate
5 for Web enablement or stored procedures.

6

7 A preferred embodiment of the present invention has the further advantage of providing
8 improved usability in a tool for identification of computer program code which is a candidate
9 for Web enablement or stored procedures.

10

11 A preferred embodiment of the present invention has the further advantage of providing
12 improved functionality in a tool for identification of computer program code which is a
13 candidate for Web enablement or stored procedures.

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BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention and the advantages thereof, reference is now made to the Description of the Preferred Embodiment in conjunction with the attached Drawings, in which:

Figure 1 is a block diagram of a distributed computer system used in performing the method of the present invention, forming part of the apparatus and computer program product of the present invention, and which may use the article of manufacture and computer program product comprising a computer-readable storage medium having a computer program embodied in said medium which may cause the computer system to practice the present invention:

Figure 2 is a block diagram of a preferred embodiment of the present invention;

Figure 3 illustrates a preferred embodiment of the static scanner or parser portion of the preferred embodiment of the present invention;

Figure 4 illustrates a preferred embodiment of the dynamic scanner or execution monitor parser portion of the preferred embodiment of the present invention;

Figure 5 illustrates a preferred embodiment of the relationship analyzer portion of the preferred embodiment of the present invention; and

Figure 6 is a flowchart illustrating the operations preferred in carrying out the preferred embodiment of the present invention.

DESCRIPTION OF THE PREFERRED EMBODIMENT

An embodiment of the invention is now described with reference to the figures where like reference numbers indicate identical or functionally similar elements. Also in the figures, the left most digit of each reference number corresponds to the figure in which the reference number is first used. While specific configurations and arrangements are discussed, it should be understood that this is done for illustrative purposes only. A person skilled in the relevant art will recognize that other configurations and arrangements can be used without departing from the spirit and scope of the invention. It will be apparent to a person skilled in the relevant art that this invention can also be employed in a variety of other devices and applications.

Referring first to Figure 1, there is depicted a graphical representation of a data processing system 8, which may be utilized to implement the present invention. As may be seen, data processing system 8 may include a plurality of networks, such as Local Area Networks (LAN) 10 and 32, each of which preferably includes a plurality of individual computers 12 and 30, respectively. Alternatively, networks 10 and 32 may be intranets or portions of the internet. Of course, those skilled in the art will appreciate that a plurality of Intelligent Work Stations (IWS) coupled to a host processor may be utilized for each such network. Each said network may also consist of a plurality of processors coupled via a communications medium, such as shared memory, shared storage, or an interconnection network. As is common in such data processing systems, each individual computer may be coupled to a storage device 14 and/or a printer/output device 16 and may be provided with a pointing device such as a mouse 17.

The data processing system 8 may also include multiple mainframe computers, such as mainframe computer 18, which may be preferably coupled to LAN 10 by means of communications link 22. The mainframe computer 18 may also be coupled to a storage device 20 which may serve as remote storage for LAN 10. Similarly, LAN 10 may be coupled via communications link 24 through a sub-system control unit/communications controller 26 and

1 communications link **34** to a gateway server **28**. The gateway server **28** may be an IWS which
2 serves to link LAN **32** to LAN **10**. Preferably, server **28** is a web application server which
3 passes transactions from a requester **30** on the internet **32** to the mainframe **18** upon which a
4 back-end application serving the transaction is executing.

5

6 With respect to LAN **32** and LAN **10**, a plurality of documents or resource objects may
7 be stored within storage device **20** and controlled by mainframe computer **18**, as resource
8 manager or library service for the resource objects thus stored. Of course, those skilled in the
9 art will appreciate that mainframe computer **18** may be located a great geographic distance
10 from LAN **10** and similarly, LAN **10** may be located a substantial distance from LAN **32**. For
11 example, LAN **32** may be located in California while LAN **10** may be located within North
12 Carolina and mainframe computer **18** may be located in New York.

13

14 Software program code which employs the present invention is typically stored in the
15 memory of a storage device **14** of a stand alone workstation or LAN server from which a
16 developer may access the code for distribution purposes, the software program code may be
17 embodied on any of a variety of known media for use with a data processing system such as a
18 diskette or CD-ROM or may be distributed to users from a memory of one computer system
19 over a network of some type to other computer systems for use by users of such other systems.
20 Such techniques and methods for embodying software code on media and/or distributing
21 software code are well-known and will not be further discussed herein.

22

23 As will be appreciated upon reference to the foregoing, it is often desirable for a user
24 to link an application program on the mainframe **18** to the internet **32** and/or World Wide Web
25 (Web), where the application program was not originally designed for Web or internet based
26 transactions. For such Web-enablement, the user needs to identify call interfaces of the
27 application program which conventionally is a manual process. If this application program has
28 a database dependency, then it may also be desirable for this user to identify call interfaces or
29 computer program code which may be re-implemented as a database stored procedure call. A

1 preferred embodiment of the present invention assists a user in performing such an
2 identification of computer program code which is a candidate for a particular purpose, such as
3 re-implementation. Alternative embodiments identify candidates for re-implementation such as
4 Web enablement or a stored procedure.

5

6 Referring now to **Figure 2** illustrating a block diagram of a preferred embodiment of
7 the present invention, the preferred embodiment comprises a database **215** (Application
8 Knowledge Base) for storing static information **220**, dynamic information **225**, and
9 relationships and dependencies **250** corresponding to computer code in application inventory
10 **205**. The database in the preferred embodiment is an IBM® DB2® UDB (Universal
11 Database); however, other relational or non-relational (object, network, hierachal, flat, . . .)
12 databases may be used in addition to either persistent or in-memory databases without
13 departing from the spirit and scope of the present invention. (IBM® and DB2® are registered
14 trademarks of International Business Machines Corporation in the United States, other
15 countries, or both.) The static information **220** concerning the computer program code **205** is
16 determined by a scanner, parser, or other software analysis tool **210**. An execution monitor or
17 scanner **230** collects dynamic information **225** concerning the computer program code **205**
18 during an execution **235** of the computer program code. The static information **220** and the
19 dynamic information **225** are analyzed by an relationship analyzer **245** to discover and/or
20 develop relationships and dependencies **250** responsive to the static information **220** and
21 dynamic information **225**. A query **255** of the Application Knowledge Base **215** may then
22 produce a set of potential candidates **260** of computer program code meeting a constraint of the
23 query. The query facility of the preferred embodiment is IBM net.Data, a dynamic HTML
24 (HyperText Markup Language) page generator; however, other query facilities may be used
25 such as a Java servlet or a Java data bean. (Java and all Java-based trademarks are trademarks
26 of Sun Microsystems, Inc. in the United States, other countries, or both.)
27

28 **Figure 3** illustrates a preferred embodiment **300** of the static scanner, parser, or other
29 analysis tool **210** which collects the static information **220** concerning the computer program

1 code 205. The static scanner 300 may comprise multiple static analysis tools. In the preferred
2 embodiment, the static scanner 300 comprises a control/environment parsing tool 305, a
3 transaction parsing tool 310, a program source parsing tool 315, a database parsing tool 320,
4 and an executable/ load module parsing tool 325. The preferred control/environment parsing
5 tool 305 is a JCL (Job Control Language) parsing tool which analyzes JCL 330 to provide
6 program execution, dataset creation and use, and database use 335. An IBM Customer
7 Information Control System (CICS®) parsing tool and an IBM Information Management
8 System (IMS) parsing tool are preferred transaction parsing tools 310 providing program
9 execution, dataset and database use 340 from analyzing transaction definitions and file
10 definitions 345. (CICS® is a registered trademark of International Business Machines
11 Corporation in the United States, other countries, or both.) Application program source 350
12 may be analyzed by the program source parsing tool 315 to provide data record definitions,
13 data store I/O, call relationships, and data element use 355. A database parsing tool for IMS
14 DBD (Data Base Definition) and IMS PSB (Program Specification Block) and a database
15 parsing tool to analyze DB2 table information are preferred for the database parsing tool 320
16 which analyzes database definitions 360 to provide linkages 365 between the application
17 program source and databases such as IMS, PSB, and DB2 among others. The executable/load
18 module parsing tool 325 analyzes load modules 370 to provide module, CSECT (control
19 section), translator, and translation date 375.

20
21 **Figure 4** illustrates a preferred embodiment 400 of the execution monitor or dynamic
22 scanner 230 which collects dynamic information 225 concerning the computer program code
23 205 during an execution 235 of the computer program code. The dynamic scanner 400 may
24 also comprise multiple dynamic analysis tools, comprising in the preferred embodiment
25 a coverage and performance measurement tool 410 and an inter-dependency tool 420. The
26 coverage and performance measurement tool 410 analyzes the execution 430 of an application
27 program run unit and compilation unit to determine the coverage for single or multiple test
28 cases 440 and to measure performance 450. A preferred inter-dependency tool 420 is a CICS
29 Inter-dependencies Utility which dynamically captures resource usage, transaction flow 460

1 from a CICS region 470. The execution monitor in the preferred embodiment is an IBM
2 CICS Affinities Utility and the IBM CICS Interdependency Utility; however, those skilled in
3 the art will recognize other execution monitors may be used without departing from the spirit
4 and scope of the invention.

5

6 **Figure 5** illustrates a preferred embodiment 500 of the relationship analyzer 245 which
7 discovers and/or develops relationships and dependencies 250 responsive to the static
8 information 220 and dynamic information 225. In the preferred embodiment, the relationship
9 analyzer 500 provides automatic, generalized, inter-relationship analysis by performing
10 IMS DL/I (Information Management System Data Language 1) call parameter analysis 510,
11 call hierarchy expansion 520, load module to source relationship mapping 530, CICS
12 pseudo-conversation flow analysis 540, missing and duplicate component analysis 550, and un-
13 referenced component analysis 560.

14

15 Referring now to **Figure 6**, the flowchart illustrates the operations preferred in carrying
16 out the preferred embodiment of the present invention. In the flowcharts, the graphical
17 conventions of a diamond for a test or decision and a rectangle for a process or function are
18 used. These conventions are well understood by those skilled in the art, and the flowcharts are
19 sufficient to enable one of ordinary skill to write code in any suitable computer programming
20 language. The process 600 of identifying computer program code which is a candidate for a
21 particular purpose, such as re-implementation for Web enablement or stored procedures begins
22 at process block 605. Process block 610 then uses static scanner 210 to scan or parse the
23 application inventory 205 retaining static information 220 concerning the artifacts of the
24 application program. Thereafter, process block 615 stores the static information 220 in the
25 Application Knowledge Base 215. Process block 620 augments the Application Knowledge
26 Base 215 with dynamic information 225 obtained by an execution scanner or monitor 230
27 which collects the dynamic information 225 during the execution of an application program
28 executable 235 produced by an assembler, compiler, or interpreter 240 from the computer
29 program source code. After collection of the static information 220 and dynamic information

1 **225**, process block **625** uses relationship analyzer **245** to discover and develop relationships
2 and dependencies **250** within the Application Knowledge Base **215**, and then stores these
3 relationships and dependencies **250** in the Application Knowledge Base **215**. Thereafter,
4 process block **630** may query **255** the application knowledge base **215** to produce a set of
5 potential candidates **260** of computer program code meeting a constraint of the query.
6

7 For example, to determine which applications may benefit by being re-implemented as
8 a database stored procedure, the query may search for routines containing SQL (Structured
9 Query Language) statements that are the targets of a number of CALLs where the number is
10 above a specified threshold. Thus the present invention provides a capability of identifying
11 more likely candidates as opposed to the conventional manual process of looking for routines
12 that contain a large amount of SQL. To determine which application programs are candidates
13 for a Web interface under CICS, the query may search for CICS transactions that contain a
14 COMMAREA (communication area) linkage and that are void of BMS (Basic Mapping
15 Support) MAP send and/or receive statements (i.e., that lack screen I/O (input output)).
16
17

18 After the results of the query are returned, decision block **635** determines if sufficient
19 candidates are identified and returned. If a sufficient number of candidates are not discovered,
20 then process block **645** allows relaxing the query constraints, after which control returns to
21 process block **630** to query the application knowledge base **215** with the relaxed constraints.
22

23 Returning now to decision block **635**, if sufficient candidates are identified, the process
24 may end at process block **640**.

25 Using the foregoing specification, the invention may be implemented using standard
26 programming and/or engineering techniques using computer programming software, firmware,
27 hardware or any combination or sub-combination thereof. Any such resulting program(s),
28 having computer readable program code means, may be embodied within one or more
29 computer usable media such as fixed (hard) drives, disk, diskettes, optical disks, magnetic tape,

1 semiconductor memories such as Read-Only Memory (ROM), Programmable Read-Only
2 Memory (PROM), etc., or any memory or transmitting device, thereby making a computer
3 program product, i.e., an article of manufacture, according to the invention. The article of
4 manufacture containing the computer programming code may be made and/or used by
5 executing the code directly or indirectly from one medium, by copying the code from one
6 medium to another medium, or by transmitting the code over a network. An apparatus for
7 making, using, or selling the invention may be one or more processing systems including, but
8 not limited to, central processing unit (CPU), memory, storage devices, communication links,
9 communication devices, servers, input/output (I/O) devices, or any sub-components or
10 individual parts of one or more processing systems, including software, firmware, hardware or
11 any combination or sub-combination thereof, which embody the invention as set forth in the
12 claims.

13
14 User input may be received from the keyboard, mouse, pen, voice, touch screen, or any
15 other means by which a human can input data to a computer, including through other programs
16 such as application programs.
17

18 One skilled in the art of computer science will easily be able to combine the software
19 created as described with appropriate general purpose or special purpose computer hardware to
20 create a computer system and/or computer sub-components embodying the invention and to
21 create a computer system and/or computer sub-components for carrying out the method of the
22 invention. Although the present invention has been particularly shown and described with
23 reference to a preferred embodiment, it should be apparent that modifications and adaptations
24 to that embodiment may occur to one skilled in the art without departing from the spirit or
25 scope of the present invention as set forth in the following claims.